

NATIONAL ENDOWMENT FOR THE HUMANITIES

# Notice of Funding Opportunity Spotlight on Humanities in Higher Education

Funding Opportunity Number: 20241001-ASA-ASB

Funding Opportunity Type: New

Federal Assistance Listing Number: 45.162

# **Application Deadline: October 1, 2024**

Applicants to NEH for awards with expected issuance dates on or after October 1, 2024, should be aware of revisions to the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards (2 CFR Part 200) effective from that date. All NEH awards issued on or after October 1, 2024, will be subject to the revised regulations. You may incorporate these changes into your applications now. Additional information is available at <u>https://www.neh.gov/grants/manage/2024-Revisions-to-2-CFR-200</u>.

> Ensure your SAM.gov and Grants.gov registrations and passwords are current. It may take several weeks to register with SAM.gov and Grants.gov. NEH will not grant deadline extensions for lack of registration.

Division of Education Programs Email: <u>spotlight@neh.gov</u> Telephone: 202-606-8236 Telecommunications Relay Service: 7-1-1

OMB control number 3136-0134, expiration date October 31, 2024

# **Executive Summary**

The National Endowment for the Humanities (NEH) Division of Education Programs is accepting applications for the Spotlight on Humanities in Higher Education program. This program supports small projects that help students from underserved populations gain access to and benefit from the humanities. Projects must enhance the teaching and study of the humanities at one or more colleges and universities that enroll fewer than 10,000 undergraduate students and that belong to at least one of the following categories: community colleges, minority-serving institutions, rural colleges and universities, or colleges and universities with more than 40% of students receiving Pell grants. These institutions, nonprofit organizations, and state, local, or Native American Tribal governments aiming to advance the humanities at these institutions are eligible to apply.

Funding Opportunity Title	Spotlight on Humanities in Higher Education		
Funding Opportunity Number	20241001-ASA-ASB		
Federal Assistance Listing Number	45.162		
Deadline for Optional Draft	August 20, 2024, 11:59 p.m. Eastern Time		
Application Deadline	October 1, 2024, 11:59 p.m. Eastern Time		
Anticipated Award Announcement	April 2025		
Anticipated FY 2025 Funding	Approximately \$1,000,000		
Estimated Number and Type of Awards	Approximately 25 grants		
Award Amounts	Exploration: up to \$30,000		
	Development: up to \$60,000		
Cost Sharing/Match Required	No		
Period of Performance	Exploration: up to one year		
	Development: up to two years		
Eligible Applicants	<ul> <li>Projects must start between June 1, 2025, and September 1, 2025.</li> <li>nonprofit organizations recognized as tax- exempt under section 501(c)(3) of the Internal Revenue Code</li> <li>accredited institutions of higher education (public or nonprofit)</li> <li>state and local governments and their agencies</li> <li>federally recognized Native American Tribal governments</li> </ul>		
	See <u>C. Eligibility Information</u> for additional information.		
Program Resource Page	https://www.neh.gov/program/spotlight- humanities-higher-education		

Pre-Application Webinar	A pre-recorded webinar will be posted to the program resource page by 5:00 p.m. Eastern July 23, 2024. <b>Live Q&amp;A Session:</b> July 31, 2024, at 2:00 p.m. Eastern Time. Click <u>here</u> to participate, or call in (audio only): +1 202-606-8430, Meeting Code: 166 165 098#
Published	July 15, 2024

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# A. Program Description

## 1. Purpose

The Spotlight on Humanities in Higher Education program supports small projects that help students from underserved populations gain access to and benefit from the humanities. Projects must enhance the teaching and study of the humanities at one or more colleges and universities that enroll fewer than 10,000 undergraduate students and that belong to at least one of the following categories: community colleges, minority-serving institutions, rural colleges and universities, or colleges and universities with more than 40% of students receiving Pell grants. These institutions, nonprofit organizations, and state, local, or Native American Tribal governments aiming to advance the humanities at these institutions are eligible to apply. See <u>C.1</u> Eligible Applicants.

Because humanities programs vary greatly among institutions, NEH encourages you to propose a project that fits your specific institutional needs. For example, you might use a Spotlight award to:

- develop new humanities courses, or enhance existing ones
- align humanities teaching with students' career needs, create humanities-related opportunities to prepare students for the workforce, or help students understand how their humanities courses relate to their professional goals
- expand humanities curricula or integrate humanities learning into curricula outside humanities departments
- enrich students' humanities experiences, such as through undergraduate research; hands-on, place-based, or experiential learning projects; campus-wide or community programs such as a "common read"; or student mentorship programs
- enhance faculty members' ability to teach humanities concepts, such as through professional development workshops or shared reading programs
- facilitate collaboration among humanities faculty, including introducing faculty and/or students from partner institutions to humanities teaching practices at the host institution or organization, or working with academic departments, institutions, or nonprofit organizations to create shared resources
- develop community relationships, including partnerships with museums, libraries, or other nonprofit organizations to enhance the teaching and study of the humanities

Your project must focus on the humanities but may also include writing and composition, foreign languages, workforce development, and/or programs of study that lie outside traditional humanities disciplines.

If you are a nonprofit or a state, local, or tribal government, you must include <u>Attachment 2</u>, explaining how you will partner with one or more eligible colleges or universities. If you know them in advance, you will list the partner(s) in the attachment. If you have not yet determined the specific partners (for example, if you plan to hold a workshop or symposia for faculty), explain how you will select institutions and how you will ensure that project partners meet Spotlight's eligibility criteria.

### **Funding levels**

You may request support at one of two levels: Exploration or Development. You should apply for the level appropriate to your project. If you have not yet begun working on the project and would use a Spotlight award to get started, you should apply at the Exploration level. If you have taken steps (even small steps) to begin your project and would use a Spotlight award to make additional progress, you should apply at the Development level.

#### Exploration

If you apply at this level, you may request up to \$30,000 and have a period of performance of up to one year. Awards support faculty, administrators, community members, and/or humanities nonprofit organizations in planning for a project that would significantly enrich humanities teaching and learning at colleges or universities.

#### Development

If you apply at this level, you may request up to \$60,000 and have a period of performance of up to two years. Awards support divisions, departments, programs, and/or humanities nonprofit organizations in advancing a single, well-defined project (or one stage of a larger project) that would significantly enrich humanities teaching and learning at colleges or universities.

Consult the <u>Spotlight program website</u> for sample proposals. The NEH website also includes <u>a</u> <u>complete list of past Spotlight awards</u>.

### **Program Outcomes and Outputs**

The outcome of a Spotlight project will be planning for or advancement of a project that would significantly enrich humanities teaching and learning at colleges and universities.

The output of an Exploration award should be a coherent plan for developing a curriculum, initiative, community or faculty partnership, or teaching resource that improves student understanding of and access to the humanities.

The output of a Development award should be a curriculum, initiative, community or faculty partnership, or teaching resource that improves student understanding of and access to the humanities, with significant progress towards implementation.

You will describe your project outcomes and outputs, and how they would support the overall purpose of the Spotlight program, in <u>Attachment 1: Narrative</u>. If you receive an award, NEH will assess your performance based on the outputs you identify in the proposal. See <u>F.3 Reporting</u>.

See <u>D6. Funding Restrictions</u> for unallowable activities. Applications unrelated to the purpose of this program or that include unallowable costs or activities may be judged nonresponsive and returned without review.

See <u>E1. Review Criteria</u> for the standards NEH will use to evaluate applications under this notice.

# 2. Background

NEH offers this funding opportunity under the authority of <u>20 U.S.C. § 956</u>. Awards are subject to <u>2 CFR Part 200 Uniform Administrative Requirements, Cost Principles, and Audit</u>

<u>Requirements for Federal Awards</u>, and the <u>General Terms and Conditions for Awards to</u> <u>Organizations (for grants and cooperative agreements issued January 1, 2022 or later)</u>.

Under Section 3(a) of the National Foundation on the Arts and the Humanities Act of 1965, as amended, "The term 'humanities' includes, but is not limited to, the study of the following: language, both modern and classical; linguistics; literature; history; jurisprudence; philosophy; archaeology; comparative religion; ethics; the history, criticism and theory of the arts; those aspects of the social sciences which have humanistic content and employ humanistic methods; and the study and application of the humanities to the human environment with particular attention to reflecting our diverse heritage, traditions, and history and to the relevance of the humanities to the current conditions of national life."

Use the **<u>Funded Projects Query Form</u>** to find examples of NEH-supported projects.

Learn more about NEH.

### **NEH Areas of Interest**

NEH is especially interested in supporting projects that advance humanities-related work in the following areas. NEH will give all applications equal consideration in accordance with the program's <u>review criteria</u>.

NEH encourages projects that include Native American organizations and communities as applicants and project partners.

### American Tapestry: Weaving Together Past, Present, and Future

*American Tapestry: Weaving Together Past, Present, and Future* is a wide-ranging special initiative at NEH that leverages the humanities to tackle some of the most pressing challenges of our time: strengthening our democracy, advancing equity for all, and addressing our changing climate. The initiative encourages humanities projects that elevate the role of civics in schools and public programs, advance knowledge of the country's history and political institutions, and examine threats to its democratic principles. The initiative also encourages projects that explore the untold stories of historically underrepresented groups and build capacity at cultural and educational institutions to benefit underserved communities. Finally, the initiative welcomes projects that promote research into the historical roots and cultural effects of climate change and support the cultural and educational sectors in building climate resilience. By supporting humanities projects that align with these three themes – strengthening our democracy, advancing equity for all, and addressing our changing climate – the *American Tapestry* initiative seeks to tell our country's history in all its complexity and diversity.

## **United We Stand: Connecting Through Culture**

Hate must have no safe harbor in America – especially when that hate fuels the kind of violence we've seen from Oak Creek to Pittsburgh, from El Paso to Poway, and from Atlanta to Buffalo. When ordinary Americans cannot participate in the basic activities of everyday life – such as shopping at the grocery store or praying at their house of worship – without the fear of being targeted and killed for who they are, our security as well as democracy are at risk. In coordination with the White House "United We Stand" Summit in September 2022, NEH launched a new initiative titled <u>United We Stand: Connecting Through Culture</u> that uses the humanities to combat hate-motivated violence and promote civic engagement, social cohesion, and cross-cultural understanding. As a part of this initiative, NEH encourages humanities projects that further our understanding of the nation's racial, ethnic, gender, and religious

diversity; examine the sources of hate and intolerance in the United States; and explore progress towards greater inclusiveness. NEH especially welcomes projects that explore the Muslim American and/or the Jewish American experience, including the history of Islamophobia and/or antisemitism.

## NEH's Support for the Federal Indian Boarding School Initiative

As a part of <u>NEH's partnership with the Department of the Interior on the Federal Indian</u> <u>Boarding School Initiative</u>, NEH encourages projects that further public understanding and knowledge of the Federal Indian boarding school system. From 1819 through the 1970s the government of the United States operated a system of schools for Native American, Alaska Native, and Native Hawaiian children premised on a policy of coerced cultural assimilation. Native children were forcibly separated from their families and sent to attend federal Indian boarding schools, where they were frequently subject to harsh treatment and abuse. A number of these students died, and others never returned to their families and communities. Many were also deprived of their cultural inheritance. NEH encourages projects that document and explore the history of the federal Indian boarding schools as well as projects that contribute to Native and Indigenous cultural and language revitalization.

# **B. Federal Award Information**

# 1. Type of Application and Award

NEH seeks new applications in response to this notice.

NEH will provide funding in the form of grants.

# 2. Summary of Funding

### Award amounts

You may request up to \$30,000 at the Exploration level and \$60,000 at the Development level. This includes the sum of direct and indirect costs. See <u>A1. Funding levels</u> for specific information and project-specific limitations.

NEH anticipates awarding approximately \$1,000,000 among an estimated 25 recipients.

NEH will award successful applicants outright funds, which are not contingent on additional funding from other sources.

NEH will not determine the exact amount available for funding until Congress makes official appropriations for FY 2025. NEH will issue awards subject to the availability of appropriated funds. NEH is publishing this notice as a contingency to ensure that NEH can process applications and issue awards in a timely manner, should sufficient funds become available.

# Period of performance

You may request a period of performance up to one year at the Exploration level and up to two years at the Development level. Your project must start between June 1, 2025, and September 1, 2025.

The period of performance is the time during which you may incur expenses to carry out the work under the award. It must start on the first day of the month and end on the last day of the month.

# C. Eligibility Information

# 1. Eligible Applicants

To be eligible to apply, your organization must be established in the United States or its jurisdictions as one of the following:

- a <u>nonprofit organization recognized as tax-exempt under section 501(c)(3) of the</u> <u>Internal Revenue Code</u>
- an <u>accredited institution of higher education</u> (public or nonprofit)
- a state or local government or one of their agencies
- a federally recognized Native American Tribal government

If your institution is a college or university, the undergraduate enrollment must be less than 10,000. In addition, your institution must meet *at least one* of the following criteria. You must:

- be a community college
- be a Minority-Serving Institution (MSI) as defined by the U.S. Department of Education. Eligible MSI categories include Asian American and Native American Pacific Islander Serving Institutions (AANAPISI); Alaska Native and Native Hawaiian Serving Institutions (ANNH); Hispanic Serving Institutions (HSI); Native American Serving Non-Tribal Institutions (NASNTI); Predominantly Black Institutions (PBI); Historically Black Colleges and Universities (HBCU); and Tribally Controlled Colleges and Universities (TCCU).
- have an enrollment of more than 40% Pell grant recipients. You may use either the percentage of full-time beginning undergraduate students or the percentage of all undergraduate students.
- be a rural college or university. You meet this criterion if your <u>NCES locale classification</u> ("campus setting") is "rural," "town: remote," or "town: distant."

If your institution is a nonprofit or a state, local, or tribal government, you must include <u>Attachment 2</u>, explaining how you will partner with one or more eligible colleges or universities.

NEH will use data from the National Center for Education Statistics (NCES), obtained at the application deadline, to determine eligibility. You should consult the <u>NCES College Navigator</u> to determine undergraduate enrollment, locale, and percentage of Pell grant recipients. If the NCES data does not accurately reflect your institution's size, campus setting, or percentage of Pell grant recipients, provide an explanation in <u>Attachment 6</u>.

NEH will confirm your MSI status using the <u>Department of Education's Eligibility Matrix</u>. The Eligibility Matrix is a read-only Excel worksheet that lists data for postsecondary institutions. Because the matrix is updated annually and some designations change from year to year, you should confirm your institution's MSI status before applying. To verify your institution, select from the options at the bottom of the screen. Find your institution and then look at column J ("Meets the [year and MSI] Definition"). If the column states "yes," your institution is an eligible

MSI. If your institution is an eligible MSI but is not listed on the eligibility matrix, provide an explanation in <u>Attachment 6</u>.

Individuals and other organizations, including foreign and for-profit entities, are ineligible.

If your organization is eligible, you may apply on behalf of a consortium of collaborating organizations. If NEH selects your proposal for funding, you will be programmatically, legally, and fiscally responsible for the award.

The recipient may not function solely as a fiscal agent but should make substantive contributions to the success of the project.

# 2. Cost Sharing

NEH does not require cost sharing in this program.

Cost sharing refers to project costs that are covered with non-NEH funds. You may contribute voluntary cost share if the total project costs exceed the amount awarded by NEH. Voluntary cost share includes:

- cash contributions made to the project by you or a third party
- your unrecovered indirect costs
- in-kind contributions (non-cash contributions, such as property or services, that benefit the project and are contributed without charge) by you or a third party

While you may describe voluntary cost share in your <u>budget justification</u>, you should not include it on your Research and Related Budget. The Research and Related Budget should only include funds you are requesting from NEH.

Peer reviewers will not consider any voluntary cost share in their evaluation of applications.

You must maintain auditable records of the source and use of cost share. See 2 CFR § 200.306.

Learn about types of funds NEH offers.

# 3. Other Eligibility Information

You may submit multiple applications for separate and distinct projects under this notice. An individual may serve as project director for multiple proposed projects under this notice.

You may revise and resubmit previously rejected applications. Submissions are subject to the application requirements and <u>review criteria</u> of the current competition.

If NEH has previously made an award in support of your project, you may apply for a new or subsequent stage or element of the project, which NEH will assess using the <u>review criteria</u> of the current competition.

Per <u>2 CFR § 200.403(f)</u>, you must not include the same project costs in more than one application for federal funding or approved federal award budget. If one application to NEH is pending, a second application for the same project costs, even if to a different program, may be ruled ineligible. However, you may submit multiple proposals for **complementary** aspects of the same overall project. If you are submitting complementary proposals to other NEH

programs or government agencies, specify when and to whom in the <u>Supplementary Cover Sheet</u> <u>for NEH Grant Programs</u>. NEH may disallow costs or reject applications that include overlapping project costs. An individual's level of effort cannot exceed 100% across multiple active federally funded awards.

NEH does not issue awards to other federal entities. If your project is so closely intertwined with a federal entity that the project takes on characteristics of the federal entity's own authorized activities, it is ineligible. You may use funds from, or sites and materials controlled by, other federal entities in your project.

NEH does not provide financial assistance to foreign institutions or organizations. If you are an eligible domestic entity, you may apply for collaborative projects involving foreign organizations provided you do not use NEH funds for the purpose of issuing subawards to any foreign organization, as defined in <u>2 CFR §§ 200.1</u> and <u>.331(a)</u>. You may obtain the services of foreign individuals and consultants to carry out programmatic activities on a fee-for-service basis, as specified in <u>2 CFR § 200.459</u>. You may obtain goods and services from foreign vendors, such as in-country transportation services, in accordance with <u>2 CFR § 200.331(b)</u>. If you plan to submit an application involving international collaboration, contact program staff at <u>spotlight@neh.gov</u>.

Except for the rare cases covered by its <u>late submission policy</u>, NEH will not consider applications submitted after the <u>deadline</u>.

NEH will not consider incomplete, nonresponsive, or ineligible applications for funding.

**NEH will not consider applications that exceed mandatory page limits or deviate from formatting instructions.** See the <u>Application Components Table</u>.

# **D. Application and Submission Information**

# 1. Application Package

You must apply using Grants.gov Workspace or a Grants.gov system-to-system solution. You can find this funding opportunity in Grants.gov under number 20241001-ASA-ASB. There is also a link on the <u>program resource page</u>.

This funding opportunity includes two application packages, which you can find under the "Package" tab. Select the appropriate one based upon your project type (Exploration or Development). Each package includes a series of required and conditionally required forms. You will upload additional application components using the <u>Attachments Form</u>.

- Exploration: ASA2024
- Development: ASB2024

You must complete a multistep registration process prior to submitting your application. See <u>D3. Unique Entity Identifier and System for Award Management</u>.

Contact <a href="mailto:spotlight@neh.gov">spotlight@neh.gov</a> to request a paper copy of this notice.

If you are deaf, hard of hearing, or have a speech disability, please dial 7-1-1 to access telecommunications relay services.

# 2. Content and Form of Application Submission

Your application will include a <u>narrative</u>, <u>budget</u>, and other <u>forms</u> and <u>attachments</u>. You will complete the forms within Grants.gov Workspace and upload other components into the <u>Attachments Form</u>. See the <u>Application Component Table</u>.

#### **Application formatting**

Your application components must conform to the following formatting requirements, unless otherwise indicated:

- pages no larger than standard letter (8 <sup>1</sup>/<sub>2</sub>" x 11")
- at least one-inch margins on all sides for all pages
- a font no smaller than 11 points

In addition, NEH encourages you to format your components consistent with the following:

- single-spacing
- a readable font such as Arial, Georgia, Helvetica, or Times New Roman
- any standard citation style (include citations in page counts)

## **Application Component Table**

NEH has assigned each application component one of the following designations:

- Required: You must submit this component.
- Conditionally Required: You must submit this component if your proposal meets the specified conditions.
- Conditionally required for recipients: You are encouraged but not required to submit this component when you apply. You must submit it if you receive an award if your proposal meets the specified conditions.

# NEH will not review applications missing any required documents or relevant conditionally required documents.

# Do not include attachments other than those listed in the table in this notice. If you do, NEH will reject your application.

In addition, NEH has established page limits for some application components:

- Mandatory: You must not exceed the page limit.
- Suggested: NEH encourages, but does not require, you to abide by the page limit.

#### Note page limits and formatting instructions in this notice. **NEH will not review applications that exceed mandatory page limits or deviate from formatting instructions.**

Application Component	File Name	Designation	Page limit
Attachments			•
<u>1: Narrative</u>	narrative.pdf	Required	5 pages (mandatory)
2: List of higher education	partners.pdf	Required for	1 page
<u>partners</u>		nonprofits and	(suggested)
		state, local, and	
		tribal governments	
<u>3: Work plan</u>	workplan.pdf	Required	2 pages
			(suggested)
<u>4: Key personnel</u>	personnel.pdf	Required	
<u>5: Letter of institutional support</u>	letters.pdf	Required	
6: Eligibility clarification	eligibility.pdf	Conditionally	
		required	
7: Subrecipient budget	subrecipient.pdf	Conditionally	
		required	
8: Federally negotiated indirect	agreement.pdf	Conditionally	
<u>cost rate agreement</u>		required	
<u>9 Explanation of delinquent</u>	delinquentdebt.pdf	Conditionally	
<u>federal debt</u>		required	
Grants.gov forms			
SF-424 Application for Federal		Required	
<u>Assistance - Short</u>			
<u>Organizational</u>			
Supplementary Cover Sheet for		Required	
<u>NEH Grant Programs</u>			
Project/Performance Site(s)		Required	
Location Form			
Research and Related Budget		Required	
and <u>Budget Justification</u>			
Attachments Form		Required	

### **Application Components: Attachments**

Each attachment must be a single PDF file. See the <u>Attachments Form</u> instructions for further guidance about file requirements. Read those instructions carefully, as Grants.gov will not accept files that do not meet the requirements.

#### Attachment 1: Narrative (required)

Compose a comprehensive description of your proposed project. Your narrative should be succinct, well organized, and free of technical terms and jargon so that peer reviewers can understand the proposed project.

**You must limit the narrative to five single-spaced pages**. Do not include an executive summary, cover page, or a table of contents. You may include images, charts, diagrams, footnotes, or endnotes if they fit within the page limit.

Organize your narrative using the following headings. Each section aligns with one or more <u>review criteria</u> NEH will use to evaluate your proposal.

#### **Project description**

• Briefly describe your project and how it would benefit your institution. We recommend limiting this section to 1,000 characters, so that you may also include it in the project description on the <u>SF-424 form</u>, which will appear on the cover page of the application.

# Humanities content and activities (aligns with review criteria "<u>Intellectual quality</u>" and "<u>Design quality</u>")

- Identify the core humanities content your project will address.
- Explain how your project would enhance the teaching and study of the humanities at your institution or the institution(s) with which you are partnering. Describe your intended audience for the project, including its estimated size or reach (for example, the number of students, faculty members, stakeholders, partner organizations, etc.).
- Summarize the activities and resources (including texts, if relevant) you will use and explain why you chose them. If you will create supplementary resources for an existing curriculum or program, explain how you will use them.
- For nonprofit organizations that support humanities education, explain how your project will strengthen the programming and/or humanities work of the academic institutions, students, and/or faculty your organization serves.

#### Project personnel (aligns with review criterion "Design quality")

- Identify key personnel, including the project director(s), members of the project team, and any external contributors, such as visiting scholars and consultants.
- Describe how they will contribute to the project and how they are qualified to make those contributions.

# Institutional context and benefit (aligns with review criteria "<u>Institutional context</u> <u>and benefit</u>")

- Describe the mission of your institution or organization. Demonstrate its commitment to underserved populations by describing, as relevant, student and faculty demographics, previous partnerships with colleges and universities, and the types of curricula and/or programming currently in place. If you plan to collaborate with other institutions, organizations, or community and affiliated partners, describe their roles, contributions, and any prior collaboration(s).
- For nonprofits and state, local, and tribal governments, describe the institutions or faculty members with whom you plan to collaborate and explain how you would ensure that they meet the Spotlight eligibility criteria (see <u>C.1 Eligible applicants</u>).
- Explain how the proposed project would help students from underserved populations gain access to and benefit from the humanities at your institution or partner institutions. Describe how the project would enhance existing programs or create new ones; how it would fit into organizational structures or curricular contexts; and how it would benefit students and faculty. If relevant, explain how humanities resources (libraries, archives, museums, digital materials, publications, conferences, etc.) would support the project.

This attachment must not exceed five pages. Name the file narrative.pdf.

# Attachment 2: Higher education institution partners (required for nonprofits and local and tribal governments)

If your institution is a nonprofit or a state, local, or tribal government, explain how you will partner with one or more eligible colleges or universities.

If you already know the partner institutions, list them and explain how they meet the criteria in <u>C.1 Eligible Applicants</u>. You may also include letters of support in <u>Attachment 5</u>.

If you have not yet determined the specific partners (for example, if you plan to hold a workshop or symposia for faculty), explain how you will select institutions to partner with and how you will ensure that project partners meet Spotlight's eligibility criteria.

This attachment has a suggested length of one page. Name the file partners.pdf

#### Attachment 3: Work plan (required)

Your work plan should reflect the major activities you describe in your <u>narrative</u>, the project dates on your <u>SF-424 Application for Federal Assistance - Short Organizational</u>, and your <u>Research and Related Budget</u>.

Describe the activities that will take place during the period of performance to achieve each of the proposed objectives. Use a timeline that includes each activity and identifies the project team members involved. Explain how outcomes from one activity will carry over into the next. For multi-institutional collaborative projects, discuss the distribution of responsibilities across each institution. As appropriate, identify meaningful support and collaboration with key stakeholders in planning, designing, and implementing activities.

This attachment has a suggested length of two pages. Name the file workplan.pdf.

#### Attachment 4: Key personnel (required)

Provide a brief biography or short résumé for the project director and other key personnel. The résumés or biographies should convey each individual's qualifications to fulfill their responsibilities on the project. Each biography or résumé should be less than two pages.

Name the file personnel.pdf.

#### Attachment 5: Letter of institutional support (required)

Provide a letter from a member of the leadership at your institution or organization, such as the president, a provost, dean, or executive director, demonstrating institutional or organizational support for the project and explaining its significance for the institution's approach to humanities education and its overall mission.

You may also include letters from other project partners. Reviewers use these letters to evaluate the feasibility of the project. For example:

- You may include letters from faculty, librarians, department chairs, or other university personnel who will participate in the project.
- If you are a nonprofit or state, local, or tribal government, and you know the colleges or universities with which you will partner, you should include letters of support from those institutions.

• You may also include additional letters from community, organizational, or other institutional partners, if they are relevant to the project.

Elected government officials, NEH staff, and current members of the <u>National Council on the</u> <u>Humanities</u> may not serve as letter writers.

Name the file letters.pdf.

#### Attachment 6: Eligibility clarification (optional)

You do not need to include this attachment if the Department of Education's <u>College Navigator</u> and <u>MSI Eligibility Matrix</u> accurately demonstrate your institution's eligibility. See <u>C.1 Eligible</u> <u>applicants</u>.

If the College Navigator does not provide accurate data about your institution's enrollment size, locale, or percentage of Pell grant recipients, you may use this attachment to explain the discrepancy. For example:

- if the undergraduate enrollment listed for your community college in College Navigator overcounts dual enrollment students, you may use this attachment to explain your specific student demographics.
- Pell grant recipients are determined by FAFSA submissions. If a large portion of your undergraduate population does not or cannot complete the FAFSA form, you may use this attachment to explain why your institution's percentage of Pell grant recipients in College Navigator does not accurately reflect your student demographics.

You may also include this attachment if your institution is an eligible Minority-Serving Institution (MSI) but is not listed on the <u>Department of Education's Eligibility Matrix</u>. You must specify which MSI category your institution meets and submit documentation confirming that your institution meets the relevant criteria. That documentation may include a letter from the U. S. Department of Education certifying Title III or Title V eligibility.

Providing this attachment does not guarantee your eligibility, but NEH staff will consider your explanation when determining eligibility. NEH will notify you if your application is rejected.

Name the file eligibility.pdf.

#### Attachment 7: Subrecipient budget(s) (conditionally required)

If your project includes subawards, you must provide a separate Research and Related Budget and budget justification for each subrecipient.

Download a <u>fillable PDF of the Research and Related Budget form</u> from the NEH website for each subrecipient. You must open and complete this form in Adobe Reader 8.0 or higher rather than in your web browser.

Prepare a budget and budget justification for each subrecipient using the <u>same instructions</u> in this notice, with a few exceptions:

- Enter the subrecipient's Unique Entity Identifier, organization name, and period of performance start and end date (these fields will not prepopulate).
- For "budget type," check "Subaward/Consortium."

If your subrecipients have a federally negotiated indirect cost rate, you must honor it. If they do not have a federally negotiated indirect cost rate, they may negotiate a rate with you consistent with <u>2 CFR § 200.414</u> or use the de minimis rate.

Rather than attaching related documents (budget justification, additional personnel, additional equipment) to the form itself, you will separately convert each document into a PDF and combine all subrecipients' budget forms and related documents into a single document. Do not attach portfolios containing multiple PDFs.

NEH cannot accept security-enhanced PDFs (e.g., XFA files, PDFs that include passwordprotection, encryption, digital signatures). Remove these features (or "flatten" these files) before merging them into a single PDF and uploading it to the <u>Attachments Form</u>.

Name the file subrecipient.pdf.

# Attachment 8: Federally negotiated indirect cost rate agreement (conditionally required)

If your organization is claiming indirect costs and has a current federally negotiated indirect cost rate agreement, provide a copy of the agreement. If applicable, provide the indirect cost rate agreements for subrecipients claiming indirect costs. If you and your subrecipients are requesting the de minimis rate, you do not need to submit this attachment.

Name the file agreement.pdf.

# Attachment 9: Explanation of delinquent federal debt (conditionally required for recipients)

If your organization is delinquent in the repayment of any federal debt, explain why. Provide evidence that you have entered into a repayment agreement with the Internal Revenue Service, if applicable, and that you are current on all payments due. Examples of relevant debt include delinquent payroll or other taxes, audit disallowances, and benefit overpayments. See <u>OMB</u> <u>Circular A-129</u>.

Name the file delinquentdebt.pdf.

### **Application Components: Grants.gov Forms**

# SF-424 Application for Federal Assistance – Short Organizational (Grants.gov form)

This form requests basic information about your institution, the proposed project, and key contacts. Items 1, 2, and 4 will be automatically filled in; leave item 3 blank.

#### 5. Applicant Information

a-d. Provide your organization's legal name, address, and web address. Select the applicant type that best describes your organization from the drop-down menu.

e-f. Provide your organization's employer/taxpayer identification number (EIN/TIN), and Unique Entity Identifier assigned by the <u>System for Award Management</u>. If you do not know your identifier, contact your grant administrator or chief financial officer. See <u>D3</u>. <u>Unique Entity</u> <u>Identifier and System for Award Management</u>. g. Provide your <u>congressional district</u> with your two-character state abbreviation followed by your three-character district number. For example, if your institution is located in the 5th congressional district of Alabama, enter "AL-005." If your institution is in a state or U.S. jurisdiction that does not have districts, enter "000" in place of the district number. If your institution is outside the U.S., enter "00-000."

#### 6. Project Information

a. Provide your project's title. It should be brief (no more than 125 characters, including spaces), descriptive of the project, and easily understood by the general public. If NEH funds your project, the agency may retitle your project for clarity in internal and external communications, including the public announcement of awards. Regardless, you may use your preferred title when carrying out the project.

b. Provide a brief description of your project (no more than 1,000 characters, including spaces). You should write the description for a nonspecialist audience, clearly stating the importance of the proposed work and its relation to larger issues in the humanities. This description will appear on the cover sheet of your application and will serve as a project abstract during the review process. If funded, this description will also appear in NEH's database of funded projects.

c. State your project's period of performance start and end dates. Your project must start on the first day of a month and end on the last day of a month. See <u>B2. Summary of Funding</u> for allowable periods of performance.

#### 7. Project Director

Provide the project director's name, title, and contact information. The project director is responsible for the programmatic aspects and day-to-day management of the proposed project. You must notify the NEH Office of Grant Management immediately if you need to change project directors.

If the project director is not employed by the applicant organization, you must have a formal written agreement with the project director that specifies an official relationship between the parties even if the relationship does not involve a salary or other form of remuneration.

#### 8. Primary Contact/Grant Administrator

Provide the name, title, and contact information for the official responsible for the administration of the award (e.g., negotiating the budget and ensuring compliance with the terms and conditions of the award).

# As a matter of NEH policy, the project director and primary contact/grant administrator must not be the same person.

The grant administrator (also called the "institutional grant administrator") functions as the representative of the recipient organization. This individual should have authority to act on the organization's behalf in matters related to the administration of the award. The institutional grant administrator must sign or countersign financial reports and prior approval requests such as budget revisions, extensions to the period of performance, and changes in key personnel.

NEH will address official correspondence (for example, the offer letter or Notice of Action) to the institutional grant administrator and copy the project director.

#### 9. Authorized Representative

Provide the name, title, and contact information for the authorized organization representative (AOR) who is submitting the application on behalf of the institution. This person, often called an "authorizing official," is typically someone from the Office of Sponsored Projects, or the institution's president, vice president, executive director, or board chair. The institution's Grants.gov E-Business Point of Contact must designate the AOR. The AOR's signature must match the contact's name. See the <u>Grants.gov Online User Guide</u>.

#### Supplementary Cover Sheet for NEH Grant Programs (Grants.gov form)

#### 1. Project Director

Select the project director's major field of study from the drop-down menu.

#### 2. Institutional Information

Select your institution type from the drop-down menu.

#### 3. Project Funding

Enter the amount requested under "Outright Funds." Do not enter anything under "Federal Match" or "Cost Sharing."

Learn more about the types of funding NEH offers.

#### 4. Application Information

Indicate whether you or others will submit complementary proposals to other NEH programs, government agencies, or private entities. If so, specify when and to whom. NEH will not consider this information when evaluating the merits of your proposal. See <u>C3</u>. <u>Other Eligibility</u> <u>Information</u> for restrictions regarding overlapping costs.

For type of application, check "new."

Select the project's primary discipline from the drop-down menu. If applicable, select the project's secondary and tertiary disciplines.

#### Project/Performance Site Location(s) Form (Grants.gov form)

Provide the primary location and any other locations where you will conduct project activities during the period of performance.

Enter <u>congressional districts</u> using the two-letter state abbreviation followed by your threecharacter district number. For example, if your institution is in the 5th congressional district of Alabama, enter "AL-005." If your institution is in a state or U.S. jurisdiction that does not have districts, enter "000" in place of the district number. If your institution is outside the U.S., enter "00-000."

The form has space for 300 sites. If your project includes additional locations, list them in a separate document. Save the document as a PDF named additionallocations.pdf and attach it under "Additional Locations."

#### Research and Related Budget (Grants.gov form)

You must submit a project budget using the Research and Related Budget form included in the Grants.gov application package and attach a <u>budget justification</u>. Complete the <u>SF-424</u>

<u>Application for Federal Assistance - Short Organizational form</u> prior to completing your Research and Related Budget.

Complete a separate detailed budget for each 12-month span of the period of performance. The form will generate a cumulative budget.

The form indicates required fields in yellow. Round all costs to the nearest whole dollar. The inclusion of a cost category on the Research and Related Budget form does not automatically indicate that an expense is allowable in this program. See <u>D6. Funding Restrictions</u> to ensure that proposed costs are allowable.

If you provide voluntary cost share, do not include it on the Research and Related Budget form. You may describe it in your <u>budget justification</u>.

All costs, whether supported by NEH funds or required cost sharing contributions (if applicable), must be reasonable, necessary to accomplish project objectives, allowable in terms of <u>2 CFR 200 Subpart E - Cost Principles</u>, auditable, and incurred during the period of performance. Costs are subject to audit, record retention, and other requirements set forth in <u>2 CFR 200 Subpart F - Audit Requirements</u>.

You should include only your own employees under <u>A. Senior/Key Person</u> and <u>B. Other</u> <u>Personnel</u>. Include team members in leadership roles in the project under <u>A. Senior/Key Person</u>. Include other team members under <u>B. Other Personnel</u>. Include costs for non-employees under <u>F3. Consultant Services</u> or <u>F5. Subawards/Consortium/Contractual Costs</u>, as appropriate.

If you charge indirect costs to the project, you must not charge the same costs to the project as direct costs. See <u>H. Indirect Costs</u>.

#### **Introductory Fields**

Your <u>SF-424 Application for Federal Assistance - Short Organizational</u> form should prepopulate introductory fields. If not pre-populated, indicate your organization's Unique Entity Identifier, name, and the period of performance. This should match the information you provide on your SF-424 Application for Federal Assistance - Short Organizational. Your period of performance must start on the first day of the month and end on the last day of the month. For budget type, check "project."

#### A. Senior/Key Person

Include personnel who are employed by the applicant institution in leadership roles on the project. Do not include collaborators at other institutions or consultants, as you will include them in <u>F. Other Direct Costs</u>.

Enter the base salary (annual compensation) for each senior/key person and identify the number of months they will devote to the project. If you do not include base salaries, your award may be delayed.

Many non-academic institutions organize their budgets using calendar months. If your organization does not differentiate between academic and summer months, use only the calendar months column.

If your organization follows an academic calendar, you may differentiate levels of effort by using the academic and summer months columns. You may use both columns if your institutional

policy requires separate accounting for academic and summer months. If your institution does not use a nine-month academic year and a three-month summer period, include your institution's definition of these terms in your <u>budget justification</u>.

Enter the requested salary and fringe benefits for each senior/key person. Per <u>2 CFR § 200.431</u>, fringe benefits are allowances and services you provide in addition to salaries and wages. Fringe benefits may include the costs of leave (e.g., vacation, family-related, sick, or military), employee insurance, pensions, and unemployment benefit plans.

Requested salaries and wages must comply with 2 CFR 8 200.430 and .466 and fringe benefits must comply with 2 CFR 200.431.

The form will calculate the requested salary and fringe benefits for each key person.

The form has space for up to eight people. If you request funds for additional key personnel, list them in a separate document using the same format as the Research and Related Budget. Save the document as a PDF named additionalpersonnel.pdf and attach it under "Additional/Senior Key Persons." If applicable, enter the total funds requested for additional senior/key persons in the "Total Funds requested for all Senior/Key Persons in the attached file" field.

#### **B. Other Personnel**

Include personnel employed by the applicant institution who will play a supporting role on the project. For each project role, identify the number of personnel proposed, the total number of months, total salary, and total fringe benefits requested as described in <u>A. Senior/Key Person</u>. The form will calculate the requested salary and fringe benefits for each group.

#### Post-doctoral associates, graduate students, and undergraduate students

For all post-doctoral associates, graduate students, and undergraduate students, individually list names, roles, associated months, and requested salary and fringe benefits in <u>L. Budget</u> <u>Justification</u>.

As a matter of programmatic policy, tuition remission is not allowed in this program.

#### Administrative/Clerical

In most circumstances, you should include the salaries of administrative, secretarial, or clerical staff as indirect costs (see <u>H. Indirect Costs</u>). Per <u>2 CFR § 200.413(c)</u>, you may charge salaries for administrative or clerical staff as direct costs only if they meet the following conditions:

- The administrative or clerical services are integral to a project or activity.
- The individuals can be specifically identified with the project or activity.
- You explicitly include these costs in your budget or have prior written approval from NEH.
- You do not recover the costs as indirect costs.

If you include administrative or clerical salaries in your budget as direct costs, describe how direct charging meets all four conditions in your <u>budget justification</u>. NEH may request additional information to assess if proposed costs are allowable.

#### **Additional Other Personnel**

List additional project roles, if applicable. The form has space for six named roles. If you have more, combine project roles on the form and explain in your <u>budget justification</u>.

Requested salaries and wages must comply with <u>2 CFR §§ 200.430</u> and <u>.466</u> and fringe benefits must comply with <u>2 CFR § 200.431</u>.

Do not list collaborators at other institutions or consultants here, as you will include them in  $\underline{F}$ . Other Direct Costs.

#### **C. Equipment Description**

Equipment is nonexpendable personal property costing \$5,000 or more per item and having a service life of more than one year (unless your organization has established a lower level). See  $\underline{2}$  <u>CFR §§ 200.313</u> and <u>.439</u>.

You may purchase equipment if analysis demonstrates that it is more economical and practical than leasing. You may charge depreciation in compliance with <u>2 CFR § 200.436</u>. If you lease equipment, include equipment rental/user fees in <u>F. Other Direct Costs</u>.

Per <u>2 CFR § 200.322</u>, you should preference goods, products, and materials produced in the United States purchased or acquired under a federal award. If NEH funds your project, you must include the requirements of this section in all subawards, contracts, and purchase orders for work or products.

List each item of equipment you plan to purchase and its estimated cost, including shipping and maintenance. Include supporting information, such as a price quote, in your <u>budget</u> <u>justification</u>.

The form has space for ten items. If you request funds for additional equipment, list them in a separate document. Save the document as a PDF named additional equipment.pdf and attach it under "Additional Equipment." Enter the total funds for the additional equipment in the "Total funds requested for all equipment listed in the attached file" field.

The form will calculate total equipment costs.

#### **D. Travel**

Enter the total funds you are requesting for both domestic travel (local and long-distance) and foreign travel (including travel to Canada and Mexico). Detail costs for each trip in your <u>budget</u> <u>justification</u>. **If you make non-refundable travel arrangements, it is at your own risk. You cannot charge cancelled travel to your award.** 

Travel costs must comply with <u>2 CFR § 200.475</u> and the <u>General Terms and Conditions for</u> <u>Awards to Organizations</u>. NEH uses the General Services Administration's <u>published per diem</u> <u>rates</u> to assess if proposed travel costs are reasonable.

You may not use NEH funds to attend regularly occurring professional meetings unless the purpose of attending is to disseminate project-related findings.

Include travel costs for participants in E3 and travel costs for consultants in F3.

The form will calculate total travel costs.

#### E. Participant/Trainee Support Costs

Participants are the beneficiaries, not the providers, of a service or training opportunity (such as a workshop, conference, seminar, or symposium) funded by a federal award.

<u>2 CFR § 200.1</u> defines participant support costs as direct costs for expenses such as stipends, travel allowances, subsistence allowances, and registration fees paid to or on behalf of participants (but not employees) in connection with conferences or training projects.

<u>2 CFR § 200.432</u> defines a conference as a meeting, retreat, seminar, symposium, workshop, or event, the primary purpose of which is the dissemination of technical information beyond the non-federal entity, and which is necessary and reasonable for successful performance under the federal award.

Include supporting information in your <u>budget justification</u>. **If you or your participants make nonrefundable travel arrangements, it is at your own risk. You cannot charge cancelled travel to your award.** 

If you claim indirect costs, exclude participant support costs from the MTDC base. The form will calculate total participant support costs.

#### 1. Tuition/Fees/Health Insurance

Leave this field blank.

#### 2. Stipends

Enter the requested funds for participant stipends.

#### 3. Travel

Enter the requested funds for participant travel. In your <u>budget justification</u>, name the travelers (if known) and itemize their travel expenses (e.g., roundtrip airfare, mileage, public transportation fares, parking fees).

#### 4. Subsistence

Enter the requested funds for participant subsistence (e.g., lodging and service charges; meals, including taxes and tips; and incidental expenses).

#### 5. Other

Describe other participant support costs (e.g., local ground transportation to venues, admission fees) and enter the requested funds. Provide additional information in your <u>budget justification</u>.

#### Number of Participants/Trainees

Enter the number of participants. This field cannot exceed 999.

#### **F. Other Direct Costs**

The form will calculate total other direct costs.

#### 1. Materials and Supplies

Enter the requested funds for materials and supplies that cost less than \$5,000 per item, regardless of its useful life, unless your organization has established a different capitalization level. See <u>2 CFR §§ 200.314</u> and <u>.453</u>.

#### 2. Publication Costs

Enter the requested funds for publication costs, including the costs of documenting, preparing, publishing, or otherwise making available to others the findings and products of the work conducted under the award. Include supporting information in your <u>budget justification</u>.

#### 3. Consultant Services

Enter the requested funds for consultant services. If your project includes an external advisory committee, include associated costs here.

Consultant fees must comply with 2 CFR § 200.459.

#### 4. Automated Data Processing (ADP)/Computer Services

Enter the requested funds for ADP/computer services, including computer-based retrieval of scientific, technical, and education information. Include personal computers and accessories under <u>Materials and Supplies</u>. If a third party will provide these services, include them in <u>Subawards/Consortium/Contractual Costs</u>.

#### 5. Subawards/Consortium/Contractual Costs

Enter the total funds you are requesting (both direct and indirect costs) for activities third parties will perform.

Per <u>2 CFR §§ 200.1</u> and <u>.331(a)</u>, a subaward is an award you issue to a subrecipient to carry out part of your federal award. Subawards do not include payments to contractors (as defined in <u>2</u> <u>CFR §§ 200.1</u> and <u>.331(b)</u>) or payments to individuals who are beneficiaries of federal programs.

You may provide a subaward through any form of legal agreement, including an agreement you consider a contract. The substance of the relationship between a passthrough entity and a third party is more important than the form of the agreement when determining if the third-party functions as subrecipient or contractor. See  $2 \text{ CFR } \S 200.331(c)$ .

NEH may request additional information to assess if proposed costs are reasonable and allowable. See <u>2 CFR §§ 200.331</u> and <u>.332</u>.

If your budget includes subawards, you must submit a separate Research and Related budget and budget justification for each subrecipient. See <u>Attachment 6: Subrecipient Budget(s)</u>.

If a subrecipient claims indirect costs, include its federally negotiated indirect cost rate agreement in <u>Attachment 7: Federally negotiated indirect cost rate agreement</u>. You do not have to include an agreement for any subrecipient that requests the 10% de minimis rate.

See <u>F2</u>. Administrative and National Policy Requirements for additional information on monitoring subrecipients' performance. Learn more about managing subawards.

#### 6. Equipment or Facility Rental/User Fees

Enter the requested funds for rental fees for equipment and facilities.

If you will use your own equipment and facilities, you may charge depreciation in compliance with  $2 CFR \S 200.436$ . Alternatively, if you will use equipment you purchased with federal funds under another award, you may charge user fees consistent with  $2 CFR \S 200.313(c)(2)$ . You may not charge both depreciation and user fees.

If you will host a conference, you may rent facilities per  $2 CFR \S 200.432$ . If you rent facilities under a "less-than-arm's-length" lease, you must comply with  $2 CFR \S 200.465(c)$ .

Federally funded meetings and conferences must take place in properties that comply with the <u>Hotel and Motel Fire Safety Act of 1990 (Pub. L. 101-391)</u>. Consult the U.S. Fire Administration's <u>National Master List</u> for fire code compliant hotels.

#### 7. Alterations and Renovations

Leave this line blank. Per <u>2 CFR § 200.462</u>, costs you incur for ordinary and normal rearrangement and alteration of facilities are allowable as indirect costs.

#### 8-10. Other

List items you have not included in other previous categories or in the indirect cost pool. "Other" project-specific costs may include fees for promotion, acquisition, rights, evaluation and assessment, and accessibility accommodations (e.g., audio description, sign-language interpretation, closed or open captioning, large-print brochures/labeling). "Miscellaneous" and "contingency" are not acceptable budget categories.

Per <u>2 CFR § 200.432</u>, allowable conference costs include speakers' fees, costs of meals and refreshments, and other incidental items. You must exercise discretion and judgment to ensure that costs are appropriate, necessary, and managed in a manner that minimizes costs to the award.

Food is only allowable when vendors are unavailable during the scheduled program. You may not use federal funds for meals or refreshments at receptions or networking events. If participants receive complimentary meals or refreshments during meetings, conferences, training, or other events while on NEH-supported travel, you must reduce the per diem you charge to the award. Per <u>2 CFR § 200.423</u>, you may not use federal funds for alcoholic beverages.

#### **G. Total Direct Costs**

The form will calculate total direct costs.

#### H. Indirect Costs

Indirect costs are costs that your institution incurs for common or joint objectives and that you cannot readily identify with a specific project or activity. Indirect costs include such expenses as the depreciation on buildings, equipment, and capital improvements; operations and maintenance expenses; accounting and legal services; and salaries of executive officers.

You do not have to claim indirect costs, but if you do, calculate the amount you may request by multiplying the applicable indirect cost rate by the distribution base, which is typically the project's modified total direct costs (MTDC).

Per <u>2 CFR § 200.1</u>, MTDC are direct salaries and wages, applicable fringe benefits, materials and supplies, services, travel, and up to the first \$25,000 of each subaward (regardless of the period of performance of the subaward). **MTDC exclude equipment, capital expenditures, rental costs, tuition remission, scholarships and fellowships, participant support costs, and the portion of each subaward over \$25,000.** 

Review your institution's negotiated indirect cost rate(s) to ensure you are using the most appropriate rate for your project. Many institutions of higher education negotiate multiple rates, such as "research," "instruction," and "other sponsored activities." An institution's "research" rate is not the appropriate rate for inclusion in NEH project budgets, except in rare cases, since it is reserved for projects involving scientific research, not scholarly inquiry of the type most often supported by NEH.

Except as provided in <u>2 CFR § 200.414(c)(1)</u>, NEH must use the negotiated rate(s) in effect at the time it issues your award and will not adjust the rate(s) throughout the life of your award. NEH will not adjust your award amount due to changes to your negotiated rates.

If your institution does not have a negotiated rate with the federal government when NEH issues an award (because it is a new recipient or the parties cannot reach agreement on a rate), but has a provisional rate, NEH must use the provisional rate until a final cost is negotiated and approved by the cognizant agency, except as provided in <u>2 CFR § 200.414</u>. If the recipient fails to negotiate an indirect cost rate applicable to the period of performance within the period of performance, NEH may disallow indirect costs.

If your organization does not have a federally negotiated indirect cost rate, you may:

- submit an indirect cost proposal to your cognizant federal agency to negotiate a rate within three months of your award's period of performance start date; or
- charge a de minimis rate of 10% applicable to MTDC (see <u>2 CFR § 200.414(f)</u>)

If you choose one of these options, indicate this under <u>Indirect Cost Type</u>. If you do not choose either of the above options, you may only charge costs that are allocable, allowable, and reasonable to the award.

Include a copy of your federally negotiated indirect cost rate agreement, along with subrecipient agreements, as <u>Attachment 7: Federally negotiated indirect cost rate agreement</u>, if applicable.

Reference <u>NEH's General Guidance on Calculating Indirect Costs</u> for more information.

If NEH is your cognizant agency, reference <u>Guidance for Negotiating an Indirect Cost Rate</u> <u>Agreement with NEH</u>.

#### Indirect Cost Type

Enter the type of indirect cost rate (e.g., "other sponsored activities," "all programs," "instruction," "10% de minimis") and base (e.g., "MTDC," "salaries," "salaries & fringe") and whether the activity and rate are on- or off-site. If your budget includes more than one indirect cost rate or base, list them as separate entries (i.e., if your project includes activities that occur both on and off campus). If you do not have a current indirect rate agreement, but intend to negotiate one, write "None-will negotiate" and provide additional detail in your <u>budget</u> justification.

#### Indirect Cost Rate (%)

Enter the most recent indirect cost rate(s) established with your cognizant federal agency (or the 10% de minimis rate) as a number without special characters (i.e., 32.5).

#### Indirect Cost Base (\$)

Enter the base for each indirect cost type. Describe any exclusions in your <u>budget justification</u>. If applicable, refer to your federally negotiated indirect cost rate agreement to determine how to calculate the indirect cost base.

#### **Funds Requested (\$)**

Enter the requested funds for each indirect cost type.

#### **Total Indirect Costs**

The form will calculate total indirect costs.

#### **Cognizant Federal Agency**

Enter the name of your cognizant federal agency and a point of contact, if applicable.

#### I. Total Direct and Indirect Costs

The form will calculate total project costs. Total project costs should not exceed \$30,000 (for Exploration) or \$60,000 (for Development).

#### J. Fee

Leave this field blank.

#### K. Total Costs and Fee

The form will calculate this field, which will be the same amount as <u>I. Total Direct and Indirect</u> <u>Costs</u>.

#### L. Budget Justification

You must provide a budget justification to support your project. Specifically describe how each item supports your proposed objectives, detail how you calculated all costs, and provide supporting documentation. Organize your budget justification using the section headings on the Research and Related Budget.

If you provide voluntary cost share, you may describe it in the budget justification to contextualize the project. Do not include voluntary cost share on the Research and Related Budget form.

Save the document as a PDF named justification.pdf. Attach only one file to the Research and Related Budget form. Do not use your budget justification to expand your narrative.

#### A. Senior/Key Person: Budget Justification

Detail the salary and wages you will pay to each Senior/Key Person. Provide their names and briefly describe their roles in and suitability to the project. Identify the fringe benefit rate and explain the base for each person. If your organization follows an academic calendar, explain any differences in compensation between academic and summer months.

#### **B. Other Personnel: Budget Justification**

List names (if known), roles, months, and requested salary and fringe benefits for other personnel, including post-doctoral associates, graduate students, undergraduate students, and administrative/clerical personnel.

If your budget includes administrative/clerical personnel, describe how they meet the <u>four</u> <u>conditions</u> for inclusion as a direct cost.

#### C. Equipment Description: Budget Justification

Detail the number and unit cost for each item and explain how you determined these figures. Provide vendor quotes or price lists, if applicable.

#### **D. Travel: Budget Justification**

For each trip, provide the name of the key personnel or employee(s) (if known), explain the purpose of the trip, and specify the points of origin and destination. Break out the costs of transportation, lodging, per diem, and any other associated expenses. Explain how you determined these figures. You must justify each trip separately, except for recurring local trips, which you may group together.

For local travel, include the mileage rate, number of miles, reason for travel, and staff members completing the travel. For long-distance travel, calculate per diem amounts for meals and lodging consistent with written institutional policy. You must use the lowest available commercial fares for coach or equivalent accommodations. **If you make nonrefundable travel arrangements, it is at your own risk. You cannot charge cancelled travel to your award.** 

#### E. Participant/Trainee Support Costs: Budget Justification

Describe how you calculated participant stipends, travel, subsistence, and other costs. If possible, detail participant travel costs using the instructions above.

#### F. Other Direct Costs: Budget Justification

1. Materials and Supplies

Indicate general categories (e.g., personal computers, digital cameras, archival supplies). Provide a total for each category. Itemize categories totaling \$1,000 or more and provide vendor quotes or price lists, if applicable.

2. Publication Costs

Indicate print runs and justify costs, including vendor quotes, if applicable.

3. Consultant Services

Identify each consultant, describe the services they will perform, specify the amount of time they will devote to the project across the period of performance, outline travel costs, and provide total costs. If applicable, include consultants' proposals.

4. Automated Data Processing (ADP)/Computer Services Itemize the cost for each service and include established service rates, if applicable.

5. Subawards/Consortium/Contractual Costs

List the costs of project activities to be undertaken by third parties. Identify each third party by name, describe its role in the project, the activities it will carry out, and the associated costs. For each entry, designate the third party as either a subrecipient (who receives a subaward) or a contractor (who receives a contract).

For each contractor, itemize costs using the same categories as the Research and Related Budget and provide relevant supporting documents.

You will submit a Research and Related budget and budget justification for each subrecipient, which you will include in <u>Attachment 6: Subrecipient budget(s)</u>.

6. Equipment or Facility Rental/User Fees Identify and justify each rental fee. Provide relevant supporting documentation.

7. Alterations and Renovations Leave this blank.

8. Other Costs Itemize, describe, and justify any other direct costs. Include supporting documentation. "Miscellaneous" and "contingency" are not acceptable budget categories.

#### H. Indirect Costs: Budget Justification

If you include indirect costs in your project budget, identify the rate(s), explain the base(s), and describe any exclusions.

### Attachments Form (Grants.gov form)

You will upload your <u>Attachments</u> to Grants.gov using this form. The form accommodates up to fifteen attachments. **Attachments must be in Portable Document Format (.pdf).** Convert all non-PDF files (i.e., Word, Excel, images) to PDFs. Occasionally, converting a document to PDF may alter its size. Confirm that attachments are within mandatory page limits before you submit the application. Do not attach files that have been password-protected, encrypted, or digitally signed. You must remove all such security features before attaching your files. Failure to do so may result in your application being rejected.

If an attachment contains multiple documents, merge them into a single file, not a PDF portfolio. If you include a PDF portfolio, the file may be rejected by Grants.gov or eGMS.

Consult the <u>Application Components Table</u> to name and sequence your attachments so that NEH can easily identify them. Grants.gov may reject your application if:

- file names exceed 50 characters
- multiple files have the same name
- file names include characters other than the following: A-Z, a-z, O-9, underscore, hyphen, space, period, parentheses, curly braces, square brackets, ampersand, tilde, exclamation point, comma, semicolon, apostrophe, at sign, number sign, dollar sign, percent sign, plus sign, and equal sign

Grants.gov may accept and validate your application even if you are missing required components or have formatted them incorrectly. You must ensure that you have formatted, attached, and submitted all required components correctly. If you have not, NEH may reject your application as incomplete or nonresponsive.

Learn about <u>Adobe software compatibility with Grants.gov</u> and ensure that you can use your version of Adobe Acrobat Reader to download, complete, and submit your application.

# **3. Unique Entity Identifier and System for Award Management**

Before applying, your organization must register with the <u>System for Award Management (SAM)</u> and <u>Grants.gov</u>. <u>Learn more about this multistep process</u>.

**NEH encourages organizations with SAM registrations to check the validation of their UEI well in advance of the deadline to ensure that they are accurate, current, and active.** If your SAM registration is not active and current at the time of Grants.gov submission, NEH will reject your application.

Allow several weeks to register with SAM and Grants.gov. NEH will not waive the online submission requirement or extend the application deadline to allow additional time for you to complete registration with SAM or Grants.gov.

### Login.gov

If you have not already done so, you must create a <u>Login.gov</u> user account to register and log in to SAM and Grants.gov. Login.gov is a secure sign-in service used by the public to sign in to participating government agencies. <u>Create and link your account now.</u>

## System for Award Management (SAM)

Your organization must register with the <u>System for Award Management (SAM)</u> and maintain an active SAM registration with current information while you have an active federal award or a pending application with a federal agency. See <u>2 CFR § 25.110</u> for exceptions. SAM will assign your organization a <u>Unique Entity Identifier</u>.

When registering or renewing in SAM, the system will prompt you to review and agree to financial assistance certifications and representations, as required by <u>2 CFR § 200.209</u>.

If your SAM registration is not active and current at the time an award is made, NEH may determine that you are not qualified to receive an award and use that determination as a basis for making an award to another applicant.

Check the status of your SAM.gov registration.

Contact the Federal Service Desk if you have questions.

#### **Grants.gov**

You must register with <u>Grants.gov</u> using your Login.gov credentials before applying. You must submit your application using <u>Grants.gov Workspace</u> or a Grants.gov system-to-system solution. Workspace is a shared, online environment where team members may simultaneously access and edit forms within a grant application.

After you register and create an <u>Organizational Applicant Profile</u>, Grants.gov will email your E-Business Point of Contact who can assign the appropriate roles to individuals within your organization. This includes the authorized organization representative (AOR), who will give permission to the appropriate individuals to complete and submit applications on behalf of your organization. If you have previously registered with Grants.gov, confirm that your registration is still active and that your AOR is current.

Contact <u>Grants.gov Applicant Support</u> or consult the <u>Grants.gov Online User Guide</u> and its <u>library of instructional videos</u> if you have questions.

# 4. Submission Dates and Times

### Drafts

Program officers will review draft application materials submitted by August 20, 2024, at 11:59 p.m. Eastern Time. Program officers will not review late drafts.

You do not need to have a fully developed program idea or complete application to submit materials for review and feedback.

This optional review is not part of the formal selection process and has no bearing on the final funding decision. However, previous applicants have found it useful to strengthen their applications. If you choose to submit a draft, send it as an attachment to <a href="mailto:spotlight@neh.gov">spotlight@neh.gov</a>.

## Applications

The deadline for applications under this notice is October 1, 2024, at 11:59 p.m. Eastern Time.

Applications must be complete, comply with length and formatting requirements, and be validated by Grants.gov under the correct funding opportunity prior to the deadline to be considered under this notice. See the <u>late submission policy</u> for the limited situations when NEH might accept an application submitted after the deadline.

It is your responsibility to confirm that Grants.gov and subsequently NEH have received your application. <u>Check your Grants.gov application status</u>. eGMS, NEH's online grant management system, will send you an automatically generated email upon receipt of your proposal.

When NEH receives your application, the agency will assign it a tracking number beginning with ASA- or ASB-. A tracking number does not guarantee that your application is free of technical problems (such as missing attachments or failure to convert attachments to PDFs). If your files are not correctly formatted, eGMS will reject your application and notify you by email. eGMS cannot detect errors such as missing components or excess pages.

NEH recommends you submit your application at least 48 hours prior to the deadline so you have time to correct technical errors eGMS identified or you discover. It is your responsibility to correct errors prior to the deadline.

NEH will not comment on the status of your application except regarding matters of eligibility, completeness, and responsiveness.

# 5. Intergovernmental Review

This funding opportunity is not subject to intergovernmental review under <u>Executive Order</u> <u>12372</u>.

# 6. Funding Restrictions

You may not use awards made under this notice for the following purposes:

- projects centered on pedagogical theory and strategies, or research on educational methods, tests, or measurements
- the rental of recreational facilities and costs related to social events such as banquets, receptions, food, and entertainment
- tuition fees for participants or tuition remission for students working on the project
- individual research
- work undertaken in pursuit of an academic degree
- the cost of travel associated with scholarly research unrelated to the project
- costs for activities performed by federal entities or personnel
- promotion of a particular political, religious, or ideological point of view
- advocacy of a particular program of social or political action
- support of specific public policies or legislation
- lobbying
- projects that fall outside of the humanities; the creation or performance of art; creative writing, autobiographies, memoirs, and creative nonfiction; policy studies; and social science research that does not address humanistic questions and/or utilize humanistic methods

Applications including unallowable costs or activities may be judged nonresponsive and returned without review.

See <u>2 CFR 200 Subpart E - Cost Principles</u> for other unallowable costs.

# **E. Application Review Information**

# 1. Review Criteria

Peer reviewers will use the following criteria to review applications under this notice:

# Intellectual quality (aligns with narrative section "<u>Humanities content and</u> <u>activities</u>")

The extent to which the proposal:

- centers on humanities education by drawing on sound humanities research or scholarship, or by building on previous humanities work related to the project
- aligns the core humanities content with its intended audience
- effectively addresses issues pertinent to humanities teaching and learning in a manner that is clear, free of jargon, and accessible to nonspecialists
- integrates humanities concepts, methods, and content into course or program activities or resources

Design quality (aligns with narrative sections "<u>Humanities content and activities</u>" and "<u>Project personnel</u>" and with <u>Attachment 3: Work plan, Attachment 4: Key</u> <u>personnel</u>, and <u>Attachment 5: Letter of institutional support</u>)

The extent to which the proposal:

- provides evidence of well-planned activities described in adequate detail
- includes personnel qualified to carry out their responsibilities

# Institutional context and benefit (aligns with narrative section "<u>Institutional</u> <u>context and benefit</u>" and with <u>Attachment 2</u>)

The extent to which the proposal:

- provides evidence that the project would enhance the teaching and study of the humanities at one or more colleges or universities
- demonstrates a significant impact in helping students from underserved populations gain access to and benefit from the humanities

# 2. Review and Selection Process

NEH staff review all applications for eligibility, completeness, and responsiveness. The agency then conducts a peer review process for all applications that pass this initial screening.

Peer reviewers are experts in their fields with knowledge and expertise relevant to the activities that the program supports. NEH instructs peer reviewers to evaluate applications according to the <u>review criteria</u> in this notice. Peer reviewers must comply with federal ethics rules governing conflicts of interest.

NEH program officers supplement the peer reviewers' comments to address matters of fact or significant points that the peer reviewers have overlooked. They then make funding recommendations to the <u>National Council on the Humanities</u>. The National Council meets at least twice each year to review applications and advise the NEH Chair. By law, the Chair has the sole authority to make final funding decisions.

Following NEH's public announcement of funded projects, you may request copies of the peer reviewers' evaluations of your proposal by contacting <a href="mailto:spotlight@neh.gov">spotlight@neh.gov</a>.

Learn more about the NEH review process.

<u>Apply to be a peer reviewer for NEH.</u>

# 3. Assessment of Risk and Other Pre-Award Activities

Following the Chair's initial selection of applications for support, the NEH Office of Grant Management (OGM) conducts a risk assessment for selected applications. OGM will consider the applicant's past performance, if applicable; analyze the project budget; assess the applicant's management systems; confirm the applicant's continued eligibility; and evaluate compliance with <u>public policy requirements</u>. This assessment guards against the risk that federal financial assistance might be wasted, used fraudulently, or abused.

NEH will consider information about your organization that is in the

Responsibility/Qualification data bank in SAM.gov (formerly <u>Federal Awardee Performance and</u> <u>Integrity Information System (FAPIIS)</u>). This database records the integrity and performance of entities applying for federal funding. NEH will review the Responsibility/Qualification database when assessing your organization's integrity, business ethics, and record of performance under federal awards, as described in <u>2 CFR § 200.206</u>. You may comment on information provided by

federal awarding agencies about your organization. Per <u>2 CFR § 200.213</u>, NEH will report determinations that your organization is not qualified to SAM.gov.

OGM may request that you submit additional programmatic or administrative information (such as an updated budget or supporting documentation) or undertake certain activities (such as negotiating an indirect cost rate) in anticipation of an award. Such requests do not guarantee that NEH will make an award.

After completing its risk assessment, NEH will determine whether making an award would be consistent with the agency's risk management policy, whether it must impose any special terms and conditions, and what funding level is appropriate. NEH may elect not to issue awards to applicants with management or financial instability that affects their ability to comply with the terms and conditions of the award (<u>2 CFR § 200.206</u>).

Award decisions are discretionary and are not appealable to any federal official or board.

# 4. Anticipated Announcement and Award Dates

NEH will notify you of funding decisions by email in April 2025. This is not an authorization to begin performance or incur related costs.

# F. Federal Award Administration Information

# 1. Federal Award Notices

If NEH selects your application for an award, the NEH Office of Grant Management will send award documents to the institutional grant administrator and project director through eGMS Reach beginning in May 2025.

Learn more about managing an NEH award.

# 2. Administrative and National Policy Requirements

Each award is subject to <u>2 CFR Part 200 Uniform Administrative Requirements, Cost Principles,</u> and Audit Requirements for Federal Awards, the <u>General Terms and Conditions for Awards to</u> <u>Organizations (for grants and cooperative agreements issued January 1, 2022 or later)</u>, and any specific terms and conditions that NEH places on the award in the Notice of Action.

Applicants to NEH for awards with expected issuance dates on or after October 1, 2024, should be aware of revisions to the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards (2 CFR Part 200) effective from that date. All NEH awards issued on or after October 1, 2024, will be subject to the revised regulations. You may incorporate these changes into your applications now. Additional information is available at <a href="https://www.neh.gov/grants/manage/2024-Revisions-to-2-CFR-200">https://www.neh.gov/grants/manage/2024-Revisions-to-2-CFR-200</a>.

# Debarment, suspension, ineligibility, and voluntary exclusion certification

By applying, you certify that neither your institution nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any federal department or agency.

You must comply with <u>2 CFR §§ 180.335</u> and <u>.350</u> with respect to providing information regarding all debarment, suspension, and related offenses information, as applicable.

If you cannot attest to the statements in this certification, explain why not in <u>Attachment 8:</u> <u>Explanation of delinquent federal debt</u>.

## Providing access to NEH-funded products

NEH strives to make the products of its awards available to the broadest possible audience by providing ready and easy access to its grant products to scholars, educators, students, and the American public. All other considerations being equal, NEH gives preference to projects that provide free access to the public.

You must comply with <u>Section 504 of the Rehabilitation Act of 1973</u>, which prohibits discrimination on the basis of disability in any program or activity receiving federal financial assistance. Consult <u>Design for Accessibility: A Cultural Administrator's Handbook</u>.

# **Copyright information**

Subject to applicable law, you may copyright work that you develop or acquire under an award. In accordance with <u>2 CFR § 200.315(b)</u>, NEH reserves a royalty-free, nonexclusive, and irrevocable right to reproduce, publish, or otherwise use the work for federal purposes, and to authorize others to do so. NEH has typically exercised this right in consultation with recipients to publish excerpts of grant products in <u>Humanities</u> magazine or on its website.

## **Protecting Personal Information**

If you collect personal identifiable information (PII) as part of your NEH-funded award, you are responsible for protecting and storing it. You must take all reasonable and appropriate actions to prevent inadvertent disclosure, release, or loss of PII. Consult <u>Protecting Personal</u> <u>Information | The National Endowment for the Humanities</u> for more guidance. NEH is not liable if a recipient incurs an inadvertent disclosure, release, loss, or data breach of PII.

## **Consent for Survey Participation**

If your NEH-funded project includes surveys, you may not enroll a participant until you have obtained legally informed consent.

## **Acknowledging NEH support**

Materials publicizing or products resulting from NEH-funded activities must contain an acknowledgment of NEH support. Consult <u>Acknowledgment and Publicity Requirements for NEH Awards</u> and <u>Publicizing Your Project</u> for guidance.

### Subrecipient monitoring requirements

Per <u>2 CFR § 25.300</u>, you may only issue subawards with federal funds to organizations that have obtained and provided their Unique Entity Identifier. Subrecipients are not required to complete registration with the <u>System for Award Management (SAM)</u> to obtain a Unique Entity Identifier. See <u>D3</u>. Unique Entity Identifier and System for Award Management.

You must monitor your subrecipients to ensure that they use their subawards for authorized purposes; comply with federal statutes, legislative requirements, regulations, and the terms and conditions of the subaward; and achieve their performance goals. You must ensure that your

subrecipients track, appropriately use, and report program income generated by the subaward. See <u>2 CFR § 200.332</u> for information that you must include in subaward agreements.

<u>Learn more about managing subawards</u>.

## **Program income**

If your NEH-supported activities generate income during the period of performance, you must use it for additional approved project-related activities. See <u>2 CFR § 200.307</u> for income that you generate after the period of performance.

## Eliminate waste, fraud, and abuse

Help NEH eliminate fraud and improve management by reporting allegations or suspicions of waste, fraud, abuse, mismanagement, research misconduct (fabrication, falsification, plagiarism), or unnecessary government expenditures to the <u>NEH Office of the Inspector</u> <u>General</u>.

## Termination

NEH reserves the right to terminate awards consistent with <u>2 CFR § 200.340</u>.

# 3. Reporting

If you receive an award, you must complete required reports in eGMS Reach, the NEH online grant management system, unless otherwise instructed. NEH will provide further information in the Notice of Action.

- 1. **Federal Financial Report(s)**. You must submit the Federal Financial Report (SF-425) annually.
- 2. **Performance Progress Report(s)**. You must submit a performance progress report annually. The "Goals & Progress" section asks for a summary of your goals; a description of what you have achieved; and, if relevant, what tasks remain to complete the project. This section must align with the outcomes and outputs you identify in your application. When you complete the report, or at any time during or after the period of performance, you may also add the project results using the Products and Prizes tab in eGMS Reach.
- 3. **Final Reports**. You must submit a final Federal Financial Report (SF-425) and a final performance report within 120 calendar days after the period of performance ends. The final performance report collects information relevant to progress on program-specific goals; impact of the overall project; the degree to which you achieved the mission, goals, and strategies outlined in the approved application; your objectives and accomplishments; barriers encountered; and your overall experiences during the period of performance.

Learn more about performance reporting requirements and financial reporting requirements.

# **G. Agency Contacts**

If you have questions about the program, contact:

**Division of Education** 

National Endowment for the Humanities 400 Seventh Street, SW Washington, DC 20506 202-606-2324 <u>spotlight@neh.gov</u>

If you have questions about administrative requirements or allowable costs, contact:

Office of Grant Management National Endowment for the Humanities 400 Seventh Street, SW Washington, DC 20506 202-606-8494 grantmanagement@neh.gov

If you are deaf or hard of hearing, you can contact NEH using telecommunications relay at 7-1-1.

If you have questions about registering or renewing your registration with Login.gov or SAM.gov, contact the Federal Service Desk, Monday – Friday, 8:00 a.m. to 8:00 p.m. Eastern Time, at:

<u>Federal Service Desk</u> U.S. calls: 866-606-8220 International calls: +1 334-206-7828

For assistance in registering with or submitting your application through Grants.gov, contact:

<u>Grants.gov Applicant Support</u> U.S. calls: 1-800-518-4726 International calls: +1-606-545-5035 <u>support@grants.gov</u>

Always obtain a case number when calling for support.

# **H. Other Information**

## **Related funding opportunities**

The <u>Humanities Initiatives</u> programs offered by the Division of Education Programs support projects that strengthen the teaching and study of the humanities at institutions of higher education by developing new humanities programs, resources (including those in digital format), or courses, or by enhancing existing ones. Humanities Initiatives encompasses five distinct programs, including separate competitions for <u>Tribal Colleges and Universities</u>, <u>Community Colleges</u>, <u>Historically Black Colleges and Universities</u>, and <u>Hispanic-Serving</u> <u>Institutions</u>. Other institutions may apply through the <u>Colleges and Universities</u> program.

The <u>Humanities Connections</u> program offered by the Division of Education Programs seeks to expand the role of the humanities in undergraduate education at two- and four-year institutions by encouraging partnerships among humanities faculty and their counterparts in other areas of study. Humanities Connections projects should plan or implement a curriculum connecting the humanities to one or more non-humanities fields, including but not limited to the physical and

natural sciences; pre-service or professional programs, including law and business; or computer science, data science, and other technology-driven fields. Projects must incorporate the approaches and learning activities of both the humanities and the non-humanities disciplines involved.

<u>Preservation Assistance Grants</u>, offered by the Division of Preservation and Access, help small and mid-sized institutions—such as libraries, museums, historical societies, archival repositories, cultural organizations, town and county records offices, and colleges and universities—improve their ability to preserve and care for their significant humanities collections. These may include special collections of books and journals, archives and manuscripts, prints and photographs, moving images, sound recordings, architectural and cartographic records, decorative and fine art objects, textiles, archaeological and ethnographic artifacts, furniture, historical objects, and digital materials. The program encourages applications from small and mid-sized institutions that have never received an NEH grant; organizations that represent the contributions of under-represented communities; community colleges, Hispanic-Serving Institutions, Historically Black Colleges and Universities, and Tribal Colleges and Universities; and Native American tribes and Native Alaskan and Native Hawaiian organizations with significant humanities collections.

The Division of Research Programs offers several programs to support individual humanities research, including <u>Fellowships</u> and <u>Summer Stipends</u>. The Awards for Faculty programs offer more flexible fellowships to those employed at <u>Historically Black Colleges and Universities</u>, <u>Hispanic Serving Institutions</u>, and <u>Tribal Colleges and Universities</u>.

You may also find related funding opportunities with your state or jurisdictional humanities council. As mandated by Congress, 40% of the NEH's budget supports these councils, which play a critical role in supporting the NEH's mission and goals at a local, grassroots level. Contact information for each council can be found here: <u>https://www.neh.gov/about/state-humanities-councils</u>.

# **Privacy policy**

NEH solicits the information in this Notice of Funding Opportunity under the authority of the National Foundation on the Arts and Humanities Act of 1965, as amended, <u>20 U.S.C. 951</u>, *et seq*. Disclosure of the information is voluntary. The principal purpose for which NEH will use the information is to process the application, which may include determining eligibility, evaluating the application, selecting recipients, and administering the award program. Panelists and other third parties may assist in the evaluation of applications, in which case NEH will take appropriate security measures with respect to the information provided to such individuals for review. NEH may also use or disclose the information it collects as required by law and for governmental purposes such as statistical research, analysis of trends, Congressional oversight, and the other routine uses set forth in the systems of records notice ("SORN") published by NEH in the Federal Register. NEH ordinarily will not publicly disclose the contents of applications that NEH does not select for funding, except as set forth in the SORN. Failure to provide the information solicited in this Notice may result in rejection of the application.

## **Application completion time**

The Office of Management and Budget requires federal agencies to supply information on the time needed to complete forms and also to invite comments on the paperwork burden. NEH estimates that on average it takes sixty hours to complete an application. This estimate includes time for reviewing instructions; researching, gathering, and maintaining the information

needed; and completing and reviewing the application. Time needed may vary from program to program.

You may send any comments regarding the estimated completion time or any other aspect of this application, including suggestions for reducing the completion time, to the Chief Funding Opportunity Officer at grantmanagement@neh.gov. According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB number. The OMB Control Number for this Notice of Funding Opportunity is 3136-0134, expiration date October 31, 2024.